Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **30-NOV-17**

of M/s. BIPL Securities Limited.

Submission Date 12-DEC-2017 16:13:55

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iii. Net amount after deducting haricut 0 28,343,794 iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. 28,343,794 0 28,343,794 v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market 35,668,731 25,340,967 25,340,967 v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market 35,668,731 25,340,967 25,340,967 value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. 0 100% haircut in the case of amount receivable form related parties. 1.18 Cash and Bank balances 144,354,419 0 144,354,419 144,354,419 i. Bank balance-proprietory accounts 514,303,910 0 514,303,910 514,303,910		iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as			
iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. 28,343,794 0 28,343,794 iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market 35,668,731 25,340,967 25,340,967 value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. 0 28,343,794 0 25,340,967 1.18 Cash and Bank balances 0 0 144,354,419 0 144,354,419 i. Bank balance-proprietory accounts 144,354,419 0 144,303,910 0 514,303,910					
v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. 35,668,731 25,340,967 vi. 100% haircut in the case of amount receivable form related parties. 2 2 2 1.18 Cash and Bank balances i. Bank Balance-proprietory accounts 144,354,419 0 144,354,419 ii. Bank balance-customer accounts 514,303,910 0 514,303,910 514,303,910		iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	28,343,794	0	28,343,794
value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. Image: Collateral after applying VAR based haircuts vi. 100% haircut in the case of amount receivable form related parties. Image: Collateral after applying VAR based haircuts 1.18 Cash and Bank balances Image: Collateral after applying VAR based haircuts i. Bank Balance-proprietory accounts 144,354,419 0 ii. Bank balance-customer accounts 514,303,910 0			35 668 731	25 3/0 067	25 340 067
securities held as collateral after applying VaR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. 1.18 Cash and Bank balances i. Bank Balance-proprietory accounts 144,354,419 0 ii. Bank balance-customer accounts 514,303,910 0		value of securities purchased for customers and held in sub-accounts after applying VAR based	55,000,751	20,040,907	20,040,007
vi. 100% haircut in the case of amount receivable form related parties. Image: Cash and Bank balances 1.18 Cash and Bank balances Image: Cash and Bank balance proprietory accounts i. Bank Balance - proprietory accounts 144,354,419 0 ii. Bank balance - customer accounts 514,303,910 0					
1.18Cash and Bank balances144,354,4190144,354,419i. Bank Balance-proprietory accounts144,354,4190144,354,419ii. Bank balance-customer accounts514,303,9100514,303,910					
ii. Bank balance-customer accounts 514,303,910 0 514,303,910	1.18				
			144,354,419	0	
		iii. Cash in hand	418,167		

Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **30-NOV-17**

of M/s. BIPL Securities Limited.

Submission Date 12-DEC-2017 16:13:55

Submission Date 12-DEC-2017 10.13.55 Page 2 Of 3					
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value	
1.19	Total Assets	1,919,264,734	1,016,647,842	998,434,055	
2	Liabilities				
2.1	Trade Payables				
	i. Payable to exchanges and clearing house ii. Payable against leveraged market products	7,553,547	0	7,553,547	
	iii. Payable to customers	630,135,408	0	630,135,408	
2.2	Current Liabilities				
	i. Statutory and regulatory dues	2,850,930	0	2,850,930	
	ii. Accruals and other payables iii. Short-term borrowings	26,568,764	0	26,568,764	
	iv. Current portion of subordinated loans				
	v. Current portion of long term liabilities				
	vi. Deferred Liabilities vii. Provision for bad debts				
	viii. Provision for taxation				
	ix. Other liabilities as per accounting principles and included in the financial statements	5,890,342	0	5,890,342	
2.3	Non-Current Liabilities				
	i. Long-Term financing a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from	150,000,000	150,000,000		
	a financial institution including amount due against finance lease	150,000,000	150,000,000		
	b. Other long-term financing				
	ii. Staff retirement benefits iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in				
	respect of advance against shares if:				
	a. The existing authorized share capital allows the proposed enhanced share capital				
	b. Boad of Directors of the company has approved the increase in capital				
	c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory reguirements				
	relating to the increase in paid up capital have been completed.				
	e. Auditor is satisfied that such advance is against the increase of capital.				
2.4	iv. Other liabilities as per accounting principles and included in the financial statements Subordinated Loans				
	 i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted: The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period b. No haircut will be allowed against short term portion which is repayable within next 12 months. c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange. ii. Subordinated loans which do not fulfill the conditions specified by SECP 				
2.5	Total Liabilites	822,998,991	150,000,000	672,998,991	
3	Ranking Liabilities Relating to :	022,000,001	100,000,000	012,000,001	
3.1	Concentration in Margin Financing				
	The amount calculated client-to- client basis by which any amount receivable from any of the	93,312,342	25,780,155	25,780,155	
	financees exceed 10% of the aggregate of amounts receivable from total financees.				
3.2	Concentration in securites lending and borrowing				
	The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL				
	(li) Cash margins paid and				
	(iii) The market value of securities pledged as margins exceed the 110% of the market value of shares				
3.3	borrowed Net underwriting Commitments				
0.0	(a) in the case of right issuse : if the market value of securites is less than or equal to the subscription				
	price; the aggregate of:				
	 (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. 				
	In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of				
	the Haircut multiplied by the net underwriting				
3.4	(b) in any other case : 12.5% of the net underwriting commitments				
3.4	Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)				
	exceed the total liabilities of the subsidiary				
3.5	Foreign exchange agreements and foreign currency positions				
	5% of the net position in foreign currency. Net position in foreign currency means the difference of total				
3.6	assets denominated in foreign cuurency less total liabilities denominated in foreign currency Amount Payable under REPO				
	Repo adjustment				
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Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **30-NOV-17**

of M/s. BIPL Securities Limited.

Submission Date 12-DEC-2017 16:13:55

			Page	3 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security	77,342,010	3,867,101	3,867,101
3.9	Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the	7,558,487	7,558,487	7,558,487
3.10	extent not already met Short selll positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.	2,140,498	0	
3.11 3.12	Total Ranking Liabilites Liquid Capital	180,353,337 915,912,406	37,205,743 829,442,099	